

Operators on Hilbert Spaces

REF

- M. REED & B. SIMON, METHODS OF MODERN MATHEMATICAL PHYSICS - I
- K. SCHMÜDGEN, UNBOUNDED SA OP ON HILBERT SPACE
- D. BORTHWICK, SPECTRAL THEORY

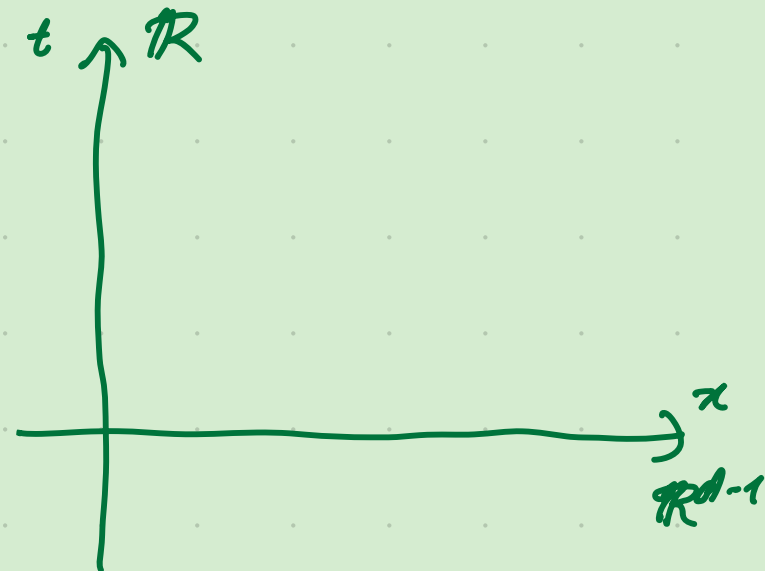
MOTIVATION

WAVE EQ $\left(\frac{\partial^2}{\partial t^2} - \Delta\right) u = 0, \quad u \in C^\infty(\mathbb{R}^d, \mathbb{C})^{\mathbb{R}}$
 $u(0, x) = f$
 $\frac{\partial u}{\partial t}(0, x) = g$

$\Rightarrow u(t, x) = \cos(t\sqrt{-\Delta})f(x)$
 $+ \sqrt{-\Delta}^{-1} \sin(t\sqrt{-\Delta})g(x)$

HEAT EQ $\left(\frac{\partial}{\partial t} - \Delta\right) u = 0, \quad u(0, x) = f$

$\Rightarrow u(t, x) = e^{t\Delta} f(x)$



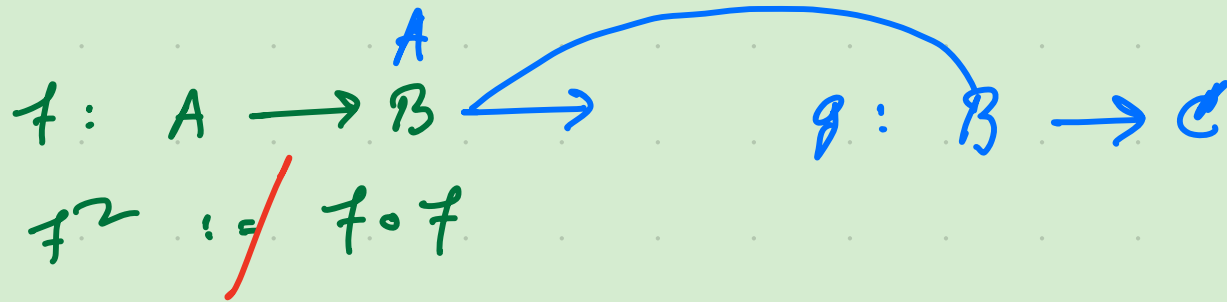
$C^k(\mathbb{R}^d, \mathbb{K}), \mathbb{K} := \mathbb{R}, \mathbb{C}$

SCHRÖDINGER EQ $(i \frac{\partial}{\partial t} - H) u = 0$, $u(0, x) = f$

$\Rightarrow u(t, x) = e^{-itH} f(x)$

$e^x = 1 + x + \frac{x^2}{2} + \dots$

new def?



$\Delta = \frac{\partial^2}{\partial x^2} : C^2(\mathbb{R}) \rightarrow C^0(\mathbb{R})$

Dom Δ

$\frac{d^2 x^2}{dx} = 2x$

$\frac{d^2 x^2}{dx^2} = 2$

WHAT IS $f(T)$?

CANONICAL COMMUTATION RELATIONS (CCRS) $[\hat{x}, \hat{p}] = i\hbar \mathbb{1}$

$$\begin{array}{c} A \quad A_t \\ f : A \xrightarrow{\text{SET}} B, \quad x \mapsto y = f(x) \end{array} \quad \begin{array}{c} := \\ \Rightarrow \end{array}$$

$A \mapsto f(A) := ?$

$\text{PDO}^m(\mathbb{R}^d) := \{ \text{SPACE OF PDO OF ORDER } m \text{ ON } \mathbb{R}^d \}$

$$\Delta \in \text{PDO}^2(\mathbb{R}^d), \quad \Delta : C^2(\mathbb{R}^d) \rightarrow$$

$$\square \in \text{PDO}^2(\mathbb{R}^d) \quad \square : C^2(\mathbb{R}^d) \rightarrow$$

$$H \in \text{PDO}^1(\mathbb{R}^d) \quad H : C^1(\mathbb{R}^d) \rightarrow$$

\mathbb{C}^d

$$z, \bar{\cdot} : z \mapsto \bar{z}$$

$$|z| := \sqrt{\bar{z} \cdot z}$$

$$(V, \mathbb{K} = \mathbb{C})$$

NORMED VECTOR SPACE

$(V, \mathbb{C}) \dots$ COMPLEX VECTOR SPACE

$$\|\cdot\| : V \rightarrow \mathbb{R}$$

$$\forall u, v \in V, c \in \mathbb{C} :$$

$$(I) \|v\| \geq 0, \quad \|v\| = 0 \Leftrightarrow v = 0$$

$$(II) \|c \cdot v\| = |c| \|v\|, \quad \|u + v\| \leq \|u\| + \|v\|$$

• EXM $K \subset \mathbb{R}^d$ CPT

$$C(K, \mathbb{R}) := \{f : K \rightarrow \mathbb{R} \text{ CONTINUOUS}\}$$

$$\|\cdot\| : C(K, \mathbb{R}) \rightarrow \mathbb{R}, \quad f \mapsto \|f\| := \sup_{x \in K} |f(x)|$$

$$(C(K, \mathbb{R}), \|\cdot\|)$$

$$(V, \|\cdot\|)$$

$$\text{dist}(u, v) := \|u - v\|$$

$(u_n)_n \in V$ CONVERGES TO u IF

$$\lim_{n \rightarrow \infty} \|u_n - u\| = 0$$

$(u_n)_n \in V$... CAUCHY IF

$$\lim_{n, m \rightarrow \infty} \|u_n - u_m\| = 0$$

? DOES EVERY CAUCHY SEQUENCE IN V CONVERGE IN V ?

→ NO

IF YES $\implies (V, \|\cdot\|)$... COMPLETE AS A METRIC SPACE

DEF BANACH SPACE := A COMPLETE NORMED VS

INNER PRODUCT SPACE

DEF (V, \mathbb{K})

AN INNER PRODUCT ON V

$$\langle \cdot | \cdot \rangle : V \times V \rightarrow \mathbb{K}, \quad (u, v) \mapsto \langle u | v \rangle$$

$$\langle cu + v, w \rangle = \bar{c} \langle u | w \rangle + \langle v | w \rangle$$

$$\langle u | v \rangle = \overline{\langle v | u \rangle}$$

$$\langle u|v \rangle \geq 0$$

↑

$$\langle u|v \rangle = 0 \Leftrightarrow v = 0$$

$(V, \langle \cdot | \cdot \rangle)$... INNER PRODUCT SPACE

• PROPERTIES

• CAUCHY-SCHWARTZ INEQUALITY

$$|\langle u|v \rangle| \leq \sqrt{\langle u|u \rangle} \sqrt{\langle v|v \rangle}$$

• $\|v\| := \sqrt{\langle v|v \rangle}$

• $\langle u|v \rangle \stackrel{\mathbb{K} = \mathbb{C}}{=} \frac{1}{4} \left(\|u+v\|^2 - \|u-v\|^2 + i \|u+iv\|^2 - i \|u-iv\|^2 \right)$

$\mathbb{K} = \mathbb{R}$

• DEF HILBERT SPACE := COMPLETE INNER PRODUCT SPACE
($\mathcal{H}, \langle \cdot, \cdot \rangle$)

$(V, \|\cdot\|, \widetilde{\|\cdot\|})$

$\|\cdot\|$ & $\widetilde{\|\cdot\|}$... EQUIVALENT IF

\exists cst, $\widetilde{cst} > 0$ | $cst \|\cdot\| \leq \widetilde{\|\cdot\|} \leq \widetilde{cst} \|\cdot\|$

dist, \widetilde{dist}

• THM $(V, \|\cdot\|, \widetilde{\|\cdot\|}) \rightsquigarrow (V, dist, \widetilde{dist})$

$\implies \|\cdot\|$ & $\widetilde{\|\cdot\|}$... EQUIVALENT $\iff dist, \widetilde{dist}$... EQUIVALENT

$(\mathbb{K}, |\cdot|)$... COMPLETE \rightsquigarrow BANACH SPACE

\mathbb{K}^d

$$\|z\|_1 := \sum_{i=1}^d |z_i|$$

$$\|z\|_p := \sqrt[p]{\sum_{i=1}^d |z_i|^p}, \quad p = 1, 2, \dots$$

$$\|z\|_\infty := \max_{i=1, \dots, d} |z_i|$$

THM $\dim V < \infty \implies \forall$ N.N. ON V ... EQUIVALENT

COR ALL FINITE-DIMENSIONAL VS ... COMPLETE

SOME FUNCTIONAL SPACES

$$\mathbb{K}^{\mathbb{N}} := \{ (z_k)_{k \in \mathbb{N}} \mid \forall k \in \mathbb{N} : z_k \in \mathbb{K} \}$$

$$l^{\infty}(\mathbb{K}) := \{ z \in \mathbb{K}^{\mathbb{N}} \mid (z_k)_{k \in \mathbb{N}} \dots \text{BOUNDED} \}$$

$$c(\mathbb{K}) := \{ \dots \text{CONVERGENT} \}$$

$$c_0(\mathbb{K}) := \{ \dots \text{NULL SEQUENCE} \}$$

$$c_c(\mathbb{K}) := \{ \dots \text{FINITE} \}$$

$$U \subseteq \mathbb{R}^d \text{ SUBSET. } \left(\text{supp } f = \overbrace{\{x \in U \mid f(x) \neq 0\}} \right)$$

$$\mathcal{B}(U) := \{ f: U \rightarrow \mathbb{K} \mid f \dots \text{BOUNDED} \}$$

$$\|f\|_\infty := \sup_{x \in U} |f(x)|$$

$$1 \leq p < \infty$$

$$L^p(U, \mathbb{K}) := \{ [f] \in \dots \mid \|f\|_p := \sqrt[p]{\int_U \underbrace{|f(x)|^p}_{p=2} dx} < \infty \}$$

$$L^\infty(U) := \{ \dots \mid \|f\|_\infty := \text{ess sup}_{x \in U} |f(x)| < \infty \}$$

$$\text{ess sup } |f(x)| := \inf \{ M > 0 \mid x \in U : |f(x)| > M \text{ HAS LEBESGUE MEASURE } 0 \}$$

- EXM. $L^2(U, \mathbb{K}) \dots$ HILBERT SPACE $L^2 \dots \mathbb{Q}M$
- $L^p(\mathbb{K}), L^p(U, \mathbb{K}), p \neq 2 \dots$ NOT INNER PRODUCT SPACE
- $C(\mathbb{K}, \mathbb{R}), \mathbb{K} \neq 0$ \longrightarrow
- $C_{\text{cpt}}(\mathbb{K}) \dots$ INNER PRODUCT SPACE BUT NOT HILBERT SPACE

• DEF $(\mathcal{H}, \langle \cdot | \cdot \rangle)$

ORTHOGONAL COMPLEMENT OF $U \subset \mathcal{H}$

$$U^\perp := \{u \in \mathcal{H} \mid \forall v \in U : \langle u | v \rangle = 0\}$$

$$U \subset \mathcal{H} \text{ CLOSED} \implies \mathcal{H} = U \oplus U^\perp$$

RECALL,

$(\mathcal{H}_1, \langle \cdot | \cdot \rangle_1), (\mathcal{H}_2, \langle \cdot | \cdot \rangle_2) \dots$ THEIR DIRECT SUM IS
DEFINED AS THE SET

$$(u_1, u_2) \in \mathcal{H}_1 \times \mathcal{H}_2$$

$$\langle (u_1, u_2), (v_1, v_2) \rangle_{\mathcal{H}_1 \times \mathcal{H}_2} := \langle u_1 | v_1 \rangle_1 + \langle u_2 | v_2 \rangle_2$$

$$\bigoplus_{i=1}^{\infty} \mathcal{H}_i := \left\{ (u_1, u_2, \dots) \mid u_i \in \mathcal{H}_i, \sum \|u_i\|_i^2 < \infty \right\}$$

• DEF \mathcal{H} ... SEPARABLE IF IT ADMITS A COUNTABLE DENSE SUBSET

$U \subset \mathcal{H}$ DENSE $\bar{U} = \mathcal{H}$
 $\|\cdot\|$

$\bar{\mathbb{Q}} = \mathbb{R}$
 1.1



$C^{\infty}(\mathbb{R}^d)$

• DEF (e_1, e_2, \dots) SEQUENCE $\subset \mathcal{H}$
 ORTHONORMAL IF $\langle e_i | e_j \rangle = \delta_{ij}$

• THM A SEPARABLE \mathcal{H} ADMITS AN ORTHONORMAL BASIS.

OPERATORS

$$(\mathcal{H}, \|\cdot\|_{\mathcal{H}}) \text{ norm } (\mathcal{H}, \langle \cdot | \cdot \rangle_{\mathcal{H}}), \quad (\mathcal{H}, \|\cdot\|_{\mathcal{H}}) \leftarrow \text{norm } (\mathcal{H}, \langle \cdot | \cdot \rangle_{\mathcal{H}})$$

dom T

LINEAR

$(T, \text{dom}, \mathcal{H})$

DEF $T : \mathcal{H} \rightarrow \mathcal{H}, \quad u \mapsto T(u)$
 $\mathcal{L}(\mathcal{H}, \mathcal{H})$

$$\|\cdot\| : \mathcal{L}(\mathcal{H}, \mathcal{H}) \rightarrow \mathbb{R}, \quad T \mapsto \|T\| := \sup_{u \in \mathcal{H} \setminus \{0\}} \frac{\|Tu\|_{\mathcal{H}}}{\|u\|_{\mathcal{H}}}$$

$T \dots$ BOUNDED IF $\|T\| < \infty$
 $\mathcal{B}(\mathcal{H}, \mathcal{H})$

$T \dots$ UNBOUNDED IF IT IS NOT BOUNDED

EXM $f \in L^\infty(\mathbb{R}^d, \mathbb{K})$

MULTIPLICATION OP BY f

$$M_f : L^p(\mathbb{R}^d, \mathbb{K}) \rightarrow L^p(\mathbb{R}^d, \mathbb{K}), \quad u \mapsto M_f(u) := f \cdot u$$

$$\lambda \cdot u \mapsto M_f(\lambda u) = f \cdot (\lambda u) = \lambda \cdot f \cdot u \quad \checkmark$$

$$\|M_f(u)\|_p = \|f \cdot u\|_p$$

$$\leq \|f\|_\infty \|u\|_p$$

$$|f| \leq \|f\|_\infty \dots$$

$$\Rightarrow \|M_f\| \leq \|f\|_\infty \quad \checkmark$$

FOR $a < \|f\|_\infty$, SET $A := \{|f| \geq a\}$

$\mathbb{1}_A$... CHARACTERISTIC FUNCTION

$$\mathbb{1}_A : A \rightarrow \{0, 1\}$$
$$u \mapsto \begin{cases} 1, & u \in A \\ 0, & u \notin A \end{cases}$$

$$\| \mathbb{1}_A \|_p = \int_A 1 \, dx > 0$$

$$\| f \mathbb{1}_A \|_p \geq a \| \mathbb{1}_A \|_p$$

$$\hookrightarrow \forall a < \| f \|_\infty, \quad \| M_f \| \geq a$$

$$\boxed{\therefore \| M_f \| = \| f \|_\infty}$$

• DEF $T \in \mathcal{B}(\mathcal{H}, \tilde{\mathcal{H}})$, $S \in \mathcal{B}(\tilde{\mathcal{H}}, \mathcal{K})$

• $\mathcal{B}(\mathcal{H}, \tilde{\mathcal{H}}) \times \mathcal{B}(\tilde{\mathcal{H}}, \mathcal{K}) \longrightarrow \mathcal{B}(\mathcal{H}, \mathcal{K}), (T, S) \mapsto S \circ T$

$$\| S \circ T \| \leq \| S \| \| T \|$$

• REM $(\mathcal{B}(\mathcal{H}), \circ) \dots$ ALGEBRA } \implies Alg QM (A QM)
 $\lambda T, S+T, S \cdot T \in \mathcal{B}(\mathcal{H})$ } AQFT [HAAG]

$V \subset \mathcal{H}$ DENSE

$$\overline{V} = \mathcal{H}$$

• EXM $f : \mathbb{R}^d \rightarrow \mathbb{K}$ "MEASURABLE"
 $= |x|^2$

$$\|h - u\| < \varepsilon$$

$\text{dom}(M_f) := \left\{ u \in L^2(\mathbb{R}^d, \mathbb{K}) \mid f \cdot u \in L^2(\mathbb{R}^d, \mathbb{K}) \right\}$

$M_f : \text{dom}(M_f) \rightarrow L^2(\mathbb{R}^d, \mathbb{K}), u \mapsto M_f(u) := f \cdot u$

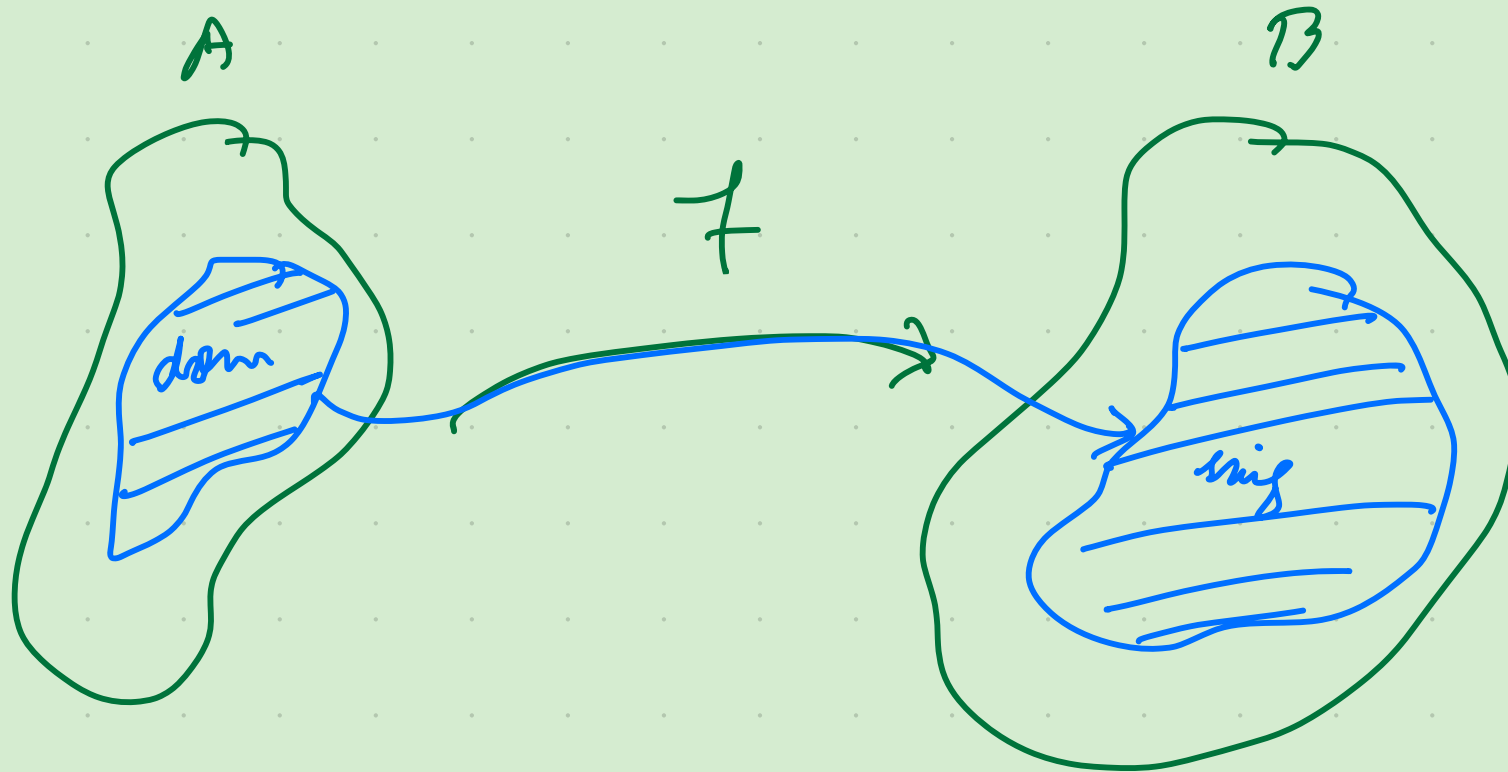
$M_f \dots$ BOUNDED $\iff f \in L^\infty(\mathbb{R}^d, \mathbb{K})$

$f \stackrel{!}{=} 1 \implies \|f\|_\infty = \infty$

$K \subset \mathbb{R}^d$ CPT $\implies \|f\|_\infty < \infty = L^2(K, \mathbb{K})$

$\implies M_f : \text{dom } M_f \rightarrow L^2(K, \mathbb{K})$ bounded

COMPACT SPACE \iff SEQUENCE HAS CONVERGENT SUB-SEQ
COMPLETE $\iff \exists$ SEQ THAT CONVERGES ... VECTOR



$$(u_n)_n \rightarrow u$$

$$\exists u_n \not\rightarrow u$$

• DEF $f \in L^1(\mathbb{R}^d, \mathbb{K})$

$$\hat{f}(\xi) := \frac{1}{(2\pi)^{d/2}} \int_{\mathbb{R}^d} e^{-i x \cdot \xi} f(x) dx$$

$\mathcal{F} : L^1(\mathbb{R}^d, \mathbb{K}) \rightarrow ?$

SCHWARTZ SPACE $\mathcal{S}(\mathbb{R}^d) := \left\{ f \in C^\infty(\mathbb{R}^d, \mathbb{K}) \mid \forall \alpha, \beta \in \mathbb{N}_0^d : \right.$
 $\left. \|x^\alpha D^\beta f\|_\infty < \infty \right\}$

$$\alpha = (\alpha_1, \dots, \alpha_d),$$

$$|\alpha| := |\alpha_1| + \dots + |\alpha_d|, \quad \alpha := \alpha_1 \dots \alpha_d$$

$$D^\beta := \frac{(-i)^{|\beta|}}{(2\pi i)^{\beta_1} \dots (2\pi i)^{\beta_d}} \partial^{|\beta|}$$

MULTI-INDEX
NOTATION

$$u \in \mathcal{S}(\mathbb{R}^d, \mathbb{K}) \implies \widehat{(D_n^\alpha u)}(\xi) = (i\xi)^\alpha \widehat{\mathcal{F}u}(\xi)$$

$$\widehat{(x^\alpha u)}(\xi) = (iD_\xi)^\alpha \widehat{\mathcal{F}u}(\xi)$$

$$\implies \wedge : \mathcal{S}(\mathbb{R}^d, \mathbb{K}) \longrightarrow \mathcal{S}(\mathbb{R}^d, \mathbb{K})$$

$$\overline{\mathcal{S}(\mathbb{R}^d, \mathbb{K})} = L^2(\mathbb{R}^d, \mathbb{K})$$

DEF $(\mathcal{H}, \|\cdot\|)$, $(\mathcal{K}, \|\cdot\|)$

$$T \in \mathcal{B}(\mathcal{H}, \mathcal{K}) \text{ ISOMETRY} \iff \|Tu\|_{\mathcal{K}} = \|u\|_{\mathcal{H}} \\ \|T\| = 1$$

$T \dots$ BIJECTIVE IF $\exists T^{-1} \in \mathcal{B}(\mathcal{K}, \mathcal{H})$

$(\mathcal{H}, \langle \cdot | \cdot \rangle_{\mathcal{H}})$, $(\mathcal{K}, \langle \cdot | \cdot \rangle_{\mathcal{K}})$

$T \dots$ UNITARY := BIJECTIVE & ISOMETRY

$$\langle Tu | Tv \rangle = \langle u | v \rangle = \langle T^* u | v \rangle$$

$\|T\| = 1$

• THM [PLANCHEREL] $\mathcal{F} : L^2(\mathbb{R}^d, \mathbb{K}) \rightarrow L^2(\mathbb{R}^d, \mathbb{K})$ UNITARY

$$\Delta = - \frac{\partial^2}{\partial x^2}$$

$$\Rightarrow \mathcal{F}(\Delta u) = |\xi|^2 \mathcal{F}(u) = M_{|\xi|^2} \mathcal{F}(u)$$

$$\text{dom}(\Delta) := \left\{ u \in L^2(\mathbb{R}^d, \mathbb{K}) \mid |\xi|^2 \mathcal{F}(u) \in L^2(\mathbb{R}^d, \mathbb{K}) \right\}$$

$$\Delta : \text{dom}(\Delta) \rightarrow L^2(\mathbb{R}^d, \mathbb{K}), \quad u \mapsto \Delta(u) := M_{|\xi|^2} \hat{u}$$

$$\|M_{|\xi|^2}\| = \infty \Rightarrow \Delta \dots \text{UNBOUNDED OP}$$

$$\hat{p}|p\rangle = p|p\rangle$$

QM

Math
rep
universe

• DEF IMAGE / RANGE

$$\text{img}(T) := T(\text{dom } T) = \{T(u) \mid u \in \text{dom } T\}$$

KERNEL / NULL SPACE

$$\text{ker}(T) := \{u \in \text{dom } T \mid T(u) = 0\}$$

$$\hookrightarrow \text{ker } T, \text{img } T \subset \mathcal{H}$$

• DEF RESTRICTION ... $T : \text{dom } T \rightarrow \mathcal{H}$ ON $\mathcal{D} \subset \text{dom } T$

$$T|_{\mathcal{D}} : \mathcal{D} \rightarrow \mathcal{H}, \quad u \mapsto T|_{\mathcal{D}}(u) := T(u)$$

$$S : \text{dom } S \rightarrow \mathcal{H}$$

$$S = T \iff \text{dom } S = \text{dom } T, \quad \forall u \in \text{dom } S : S(u) = T(u)$$

$$T \dots \text{EXTENSION OF } S \iff S = T|_{\text{dom } S}$$

$$S \subseteq T \text{ WHEN } \text{dom } S \subseteq \text{dom } T, \quad \forall u \in \text{dom } S : S(u) = T(u)$$

OP ~~↔~~ MATRICES

$$\mathcal{B}(\mathcal{H}) \begin{matrix} \downarrow \\ T \end{matrix} = \begin{pmatrix} T_{11}^1 & & & \\ & T_{11}^2 & & \\ & & \ddots & \\ & & & \ddots \end{pmatrix}$$

$$\leftrightarrow M = \begin{pmatrix} M_{11}^1 & \dots & M_{1n}^1 \\ \vdots & & \vdots \\ M_{ij}^i & & \\ \vdots & & \vdots \\ M_{mn}^{mn} \end{pmatrix}$$

~~X~~

$$\leftarrow \dim(\text{dom}) = \dim(\text{img}) + \dim(\text{ker})$$

POSITION OP ... QM

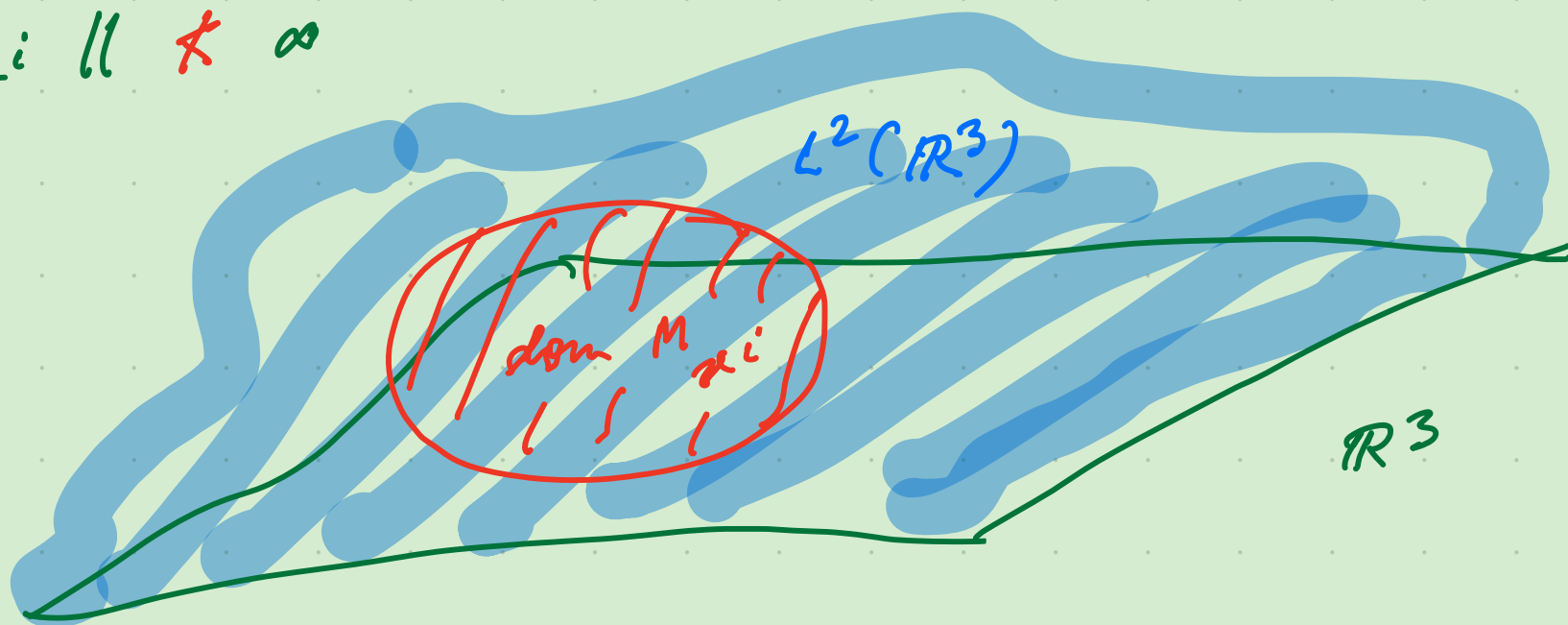
DEF $\mathcal{H} := L^2(\mathbb{R}^d)$, $i = 1, 2, \dots, d$, $x = (x^1, \dots, x^d) \in \mathbb{R}^d$

$$\text{dom}(M_{x^i}) := \{u \in \mathcal{H} \mid x^i \cdot u \in \mathcal{H}\}$$

$$M_{x^i} : \text{dom}(M_{x^i}) \rightarrow \mathcal{H}, \quad u \mapsto M_{x^i}(u) := x^i \cdot u$$

POSITION OP

$$\Rightarrow \|M_{x_i}\| \neq \infty$$



$$C^\infty(U, \mathbb{K}), \quad U \subset \mathbb{R}^d \text{ OPEN}$$

$$\mathcal{R} : 1.1$$

$$\mathcal{N} : \|\cdot\|$$

$$\|\cdot\|_{\alpha, \mathbb{K}} : C^\infty(U, \mathbb{K}) \rightarrow \mathbb{R},$$

$$u \mapsto \|u\|_{\alpha, \mathbb{K}} := \sup_{\substack{x \in K \subset U \\ \forall x}} |(D^\alpha u)(x)|$$

$$(u_n) \rightarrow u \dots C^\infty(U, \mathbb{K}) \Leftrightarrow \forall \text{ DERIVATIVES CONVERGES UNIFORMLY}$$

$$\Sigma(V, \mathbb{K}) := (C^\infty(V, \mathbb{K}), \|\cdot\|)$$

FRECHET SPACE

$$\text{supp } u := \overline{\{x \in V \mid u(x) \neq 0\}}$$

$$C_c^\infty(V, \mathbb{K}) := \{u \in C^\infty(V, \mathbb{K}) \mid \text{supp } u \text{ CPT}\}$$

$$K_1 \subset K_2 \subset K_3 \subset \dots \quad \text{CPT EXHAUSTION} \quad \bigcup_i K_i = V$$

$$C_c^\infty(K_i) = \{u \mid \text{supp } u \subset K_i\}$$

FOR EACH $u \in C_c^\infty(V) \exists k \mid \forall i \geq k, \text{supp } u \subset K_i$

$$C_c^\infty(K_1) \hookrightarrow C_c^\infty(K_2) \hookrightarrow \dots$$

CONSIDER REL TOP $C_c^\infty(K_i) \subset \Sigma(V)$

$(u_n)_n \rightarrow u \dots C_c^\infty(U, \mathbb{K})$ IF

$\exists K \text{ CPT } | \text{supp } u_n \subset K_n$

$\text{supp } u \subset K$



& \forall DERIVATIVES OF u_n
CONVERGES UNIFORMLY IN K

$D(U, \mathbb{K}) := (C_c^\infty(U, \mathbb{K}), \text{INDUCTIVE LIMIT TOPOLOGY})$

DISTRIBUTION

DEF DISTRIBUTION := CONTINUOUS (LIN) FUNCTIONAL ON $C_c^\infty(U, \mathbb{K})$

$u : C_c^\infty(U, \mathbb{K}) \rightarrow \mathbb{C}, \quad \phi \mapsto u(\phi)$ C^∞

$$|u(\phi)| \leq \text{cst} \sum_{|K| \leq k} \sup_{x \in K} |(D^\alpha \phi)(x)|$$

$C_c^{\prime}(U, \mathbb{K}) := \text{TOPOLOGICAL DUAL OF } C_c^\infty(U, \mathbb{K})$


C^{\prime}

C^∞

$$D'(\mathcal{V}, \mathbb{K}) := (\underbrace{C_c^\infty(\mathcal{V}, \mathbb{K})}_{\text{CAI}}, \text{TOPOLOGY})$$

Σ'

EXM: $\delta_a : C_c^\infty(\mathcal{V}, \mathbb{K}) \rightarrow \mathbb{K}, \quad \phi \mapsto \delta_a(\phi) := \phi(a)$
 $\Rightarrow |\delta(\phi)| = |\phi(0)|$

$$\int_{\mathcal{V}} \delta(x-a) \phi(x) dx \neq \phi(a), \quad \int_{\mathcal{V}} \delta(x-a) dx = 1$$


$$\int_{\mathcal{V}} \delta(x-a) \phi(x) dx = \delta_a(\phi)$$

$$f \cdot u(\phi) := u(f \cdot \phi)$$

$$f \cdot \delta(\phi) = \delta(f \cdot \phi) = f(0) \phi(0) = f(0) \delta(\phi)$$

$$u \in \mathcal{D}'(U, \mathbb{K}), \quad \phi \in C_c^\infty(U, \mathbb{K}) \implies u \cdot \phi \in C_c^\infty(U, \mathbb{K})$$

$$u : C_c^\infty(U, \mathbb{K}) \rightarrow \mathbb{K}, \quad \phi \mapsto u(\phi) := \int_U u(x) \phi(x) dx$$

$$\hookrightarrow u \in C_c^\infty(U, \mathbb{K})$$

REGULAR DISTRIBUTION

$$C^\infty(U, \mathbb{K}) \hookrightarrow C_c^\infty(U, \mathbb{K})$$

$$\delta(\phi) \neq \int_U \delta(x) \phi(x) dx \neq \phi(0)$$

$\delta(x) = \delta(x-0)$

HYDROGEN ATOM

$$\frac{1}{x^n}$$

$$x=0 \rightarrow$$

d

$$\int \frac{\partial u}{\partial x_i} \phi \, dx = - \int u \frac{\partial \phi}{\partial x_i} \, dx$$

↑ WEAK DERIVATIVE

• DEF $\partial_i : C^\infty(V, \mathbb{K}) \rightarrow \mathbb{R}$

$$\boxed{\partial'_i} : C_c^\infty(V, \mathbb{K}) \rightarrow \mathbb{R}, \quad u \mapsto \partial'_i u(\phi) := - \int u \partial_i \phi$$

DISTRIBUTIONAL DERIVATIVE

$$L : C^\infty(V, \mathbb{K}) \rightarrow \mathbb{R}, \quad \phi \mapsto L(\phi)$$

$$L^{\circledast} : C_c^\infty(V, \mathbb{K}) \rightarrow \mathbb{R}, \quad u \mapsto L^{\circledast} u(\phi) := \int u(L\phi)$$

↑ DIFFERENTIAL OP ... DISTRIBUTIONAL SENSE

SELF-ADJOINT OP

$$T \in \mathcal{L}(\mathcal{H}, \mathcal{K})$$

DEF GRAPH OF T

$$\mathcal{G}(T) := \{(u, Tu) \mid u \in \text{dom } T\} \subset \begin{matrix} \text{lin} \\ \mathcal{H} \oplus \mathcal{K} \\ \text{space} \end{matrix}$$

↑
INFO ... T

$$\langle u | v \rangle_T := \langle u | v \rangle_{\mathcal{H}} + \langle Tu | Tv \rangle_{\mathcal{K}}, \quad u, v \in \text{dom } T$$

GRAPH NORM

$$\|u\|_T := \sqrt{\|u\|_{\mathcal{H}}^2 + \|Tu\|_{\mathcal{K}}^2}$$

DEF $T \in \mathcal{L}(\mathcal{H}, \mathcal{K})$... CLOSED IF $\mathcal{G}(T) \subset \mathcal{H} \oplus \mathcal{K}$
closed
subspace

T ... CLOSABLE IF \exists CLOSED $S \in \mathcal{L}(\mathcal{H}, \mathcal{K})$! $T \subseteq S$

• DEF $T \dots$ CLOSABLE $\mathcal{L}(\mathcal{H}, \mathcal{K})$

$\bar{T} \dots$ CLOSED $\mathcal{L}(\mathcal{H}, \mathcal{K})$!

$$\overline{\mathcal{R}(T)} = \mathcal{R}(\bar{T})$$

↖ CLOSURE OF T

$$T : \mathbb{C}^d \rightarrow \mathbb{C}^d$$

$$\{\text{BASIS}\} \rightarrow T \{ \dots \} \iff T =$$

$$\begin{pmatrix} T_{11} & T_{12} & \dots & T_{1d} \\ T_{21} & T_{22} & \dots & T_{2d} \\ \vdots & \vdots & \ddots & \vdots \\ T_{d1} & T_{d2} & \dots & T_{dd} \end{pmatrix}$$

$$T^* = \overbrace{T_{ij}^*}^{\text{row} \leftrightarrow \text{columns}}$$

? $T \in \mathcal{L}(\mathcal{H})$

$$\mathbb{C} \ni z \mapsto z^{cc} = (x + iy)^{cc} := x - iy$$

$\mathcal{H} \ni u \mapsto$ dual space

$\mathcal{H}' \ni \phi$
 $\neq \mathcal{H}^{cc}$

$$\phi : \phi(u) \in \mathbb{C}$$

$$z^{cc} z \in \mathbb{R}$$

$$\langle u | v \rangle \in \mathbb{C}$$

$$\langle u | u \rangle \in \mathbb{R}$$

\mathbb{C} -lim
 $z^{cc} z \in \mathbb{R}$

DEF $T \in \mathcal{L}(\mathcal{H}, \mathcal{K})$.

$$\text{dom}(T^*) := \left\{ v \in \mathcal{K} \mid \exists u \in \mathcal{H} \mid \begin{array}{l} \text{bounded} \\ \langle w \mid T^* v \rangle \\ \forall w \in \text{dom } T : \langle Tw \mid v \rangle_{\mathcal{K}} = \langle w \mid u \rangle_{\mathcal{H}} \end{array} \right\}$$

$T^* v := u \implies T^* \in \mathcal{L}(\mathcal{K}, \mathcal{H}) \dots$ ADJOINT OF T

$T \in \mathcal{L}(\mathcal{H})$ ~~SA~~: SA ... (PHYSICS)^u

$T \dots$ SYMMETRIC IF $T \subseteq T^*$

SELF-ADJOINT

$$T = T^*$$

ESSENTIALLY \dashv —

$$\bar{T} = T^*$$



$T \in \mathcal{B}(\mathcal{H}, \mathcal{H}) \Rightarrow \text{dom } T = \mathcal{H}$
= MATRIX

EXM $f: \mathbb{C}^{\mathbb{R}} \rightarrow \mathbb{C}, M_f$

$$(M_f)^* = M_{\bar{f}} \quad \leftarrow \text{ANTI-LIN}$$

$$\langle M_f w | v \rangle = \langle \bar{f} w | v \rangle = \langle w | \bar{f} v \rangle = \langle w | M_{\bar{f}} v \rangle$$

$f: [a, b] \subset \mathbb{R} \rightarrow \mathbb{R} \dots$ ABSOLUTELY CONTINUOUS \Leftrightarrow

$\exists f \in L^1(a, b)$

$$\forall x \in [a, b] : f(x) = f(a) + \int_a^x g(t) dt$$

$AC[a, b] := \{ \text{---} \}$

IF $f \in AC[a, b]$ THEN $f \in C([a, b], \mathbb{R})$ & $f \dots$ A.E. DIFF.

$$f'(x) = g(x) \quad \text{A.E. } \dots [a, b]$$

↑
DERIVATIVE OF f

$$H^1(a, b) := \{f \in AC[a, b] \mid f' \in L^2(a, b)\}$$

$$H^2(a, b) := \{f \in C^1([a, b], \mathbb{R}) \mid f' \in H^1(a, b)\}$$

INTEGRATION BY PARTS

$\forall f, g \in H^1(a, b) :$

$$\begin{aligned} & \langle f' \mid g \rangle_{L^2} + \langle f \mid g' \rangle_{L^2} \\ &= \bar{f} g \Big|_a^b \\ &= \bar{f}(b) g(b) - \bar{f}(a) g(a) \end{aligned}$$

$$\forall f, g \in H^2(a, b) : \frac{\langle f'' | g \rangle_{L^2} - \langle f | g'' \rangle_{L^2}}{=} = \left(\overline{f'} g - \overline{f} g' \right) \Big|_a^b$$

$$= \overline{f'(b)} g(b) - \overline{f(b)} g'(b) - \overline{f'(a)} g(a) + \overline{f(a)} g'(a)$$

• EXM $T : H_0^1(a, b) \rightarrow L^2(a, b), \quad u \mapsto T(u) := -i u'$

ii
 $\{ u \in H^1(a, b) \mid u(a) = 0 = u(b) \}$

$T^2 : H_0^2(a, b) \rightarrow L^2(a, b), \quad u \mapsto T^2(u) := -u''$

ii
 $\{ u \in H^2(a, b) \mid u(a) = 0 = u(b), \quad u'(a) = 0 = u'(b) \}$

Lem $\text{img}(T)^\perp \subseteq C \cdot 1,$
 $\text{img}(T^2)^\perp \subseteq C \cdot 1 + C \cdot x$ for $x \in [a, b]$

$f_1(x) := 1$
 $f_2(x) := x$

PF IT IS SUFFICIENT TO PROVE THAT $(C \cdot 1)^\perp \subseteq \text{img}(T)$ &
 $(C \cdot 1 + C \cdot x)^\perp \subseteq \text{img}(T^2).$

SUPPOSE $h_1 \in (C \cdot 1)^\perp,$ $h_2 \in (C \cdot 1 + C \cdot x)^\perp.$ DEFINE
 FUNCTIONS ON $[a, b]:$

$$g_1(x) := \int_a^x h_1(t) dt, \quad g_2(x) := \int_a^x \left(\int_a^t h_2(s) ds \right) dt$$

OBSERVE THAT $h_1, h_2 \in L^2(a, b)$

$$\implies g_1 \in H^1(a, b), \quad g_1' = h_1$$

$$g_2 \in H^2(a, b), \quad g_2'' = h_2$$

CHECK ... $g_1(a) = 0 = g_2(a).$ $g_1(b) = \langle h_1, 1 \rangle = 0,$

$$g_2'(b) = \langle h_2 | 1 \rangle = 0$$

$$\implies g_2'(x) x \Big|_a^b = 0 \quad \dots \text{INTEGRATION BY PARTS}$$

$$g_2(b) = \int_a^b g_2'(t) dt$$

$$= \langle g_2' | 1 \rangle_{L^2}$$

$$= - \langle g_2'' | x \rangle_{L^2}$$

$$= - \langle h_2 | x \rangle_{L^2}$$

$$= 0$$

$$\implies g_1 \in \text{dom } T, \quad g_2 \in \text{dom}(T^2)$$

$$\implies T(i g_1) = h_1 \in \text{img } T, \quad T^2(-g_2) = h_2 \in \text{img}(T^2) \quad \square$$

Lem $\text{dom}(T^*) = H^1(a, b), \quad T^*v := -iv'$
 $\text{dom}((T^2)^*) = \text{dom}((T^*)^2) = H^2(a, b), \quad (T^2)^*v := -v''$

Pf LET $u \in \text{dom } T$. SINCE $u(a) = 0 = u(b)$ INTEGRATION BY PARTS ... \Downarrow

$$\langle Tu | v \rangle = -i \langle u' | v \rangle = i \langle u | v' \rangle = \langle u | -iv' \rangle$$

DEF ... $T^* \implies u \in \text{dom } T^* \ \& \ T^*(u) = -iv'$.

LET $v \in H^2(a, b) \xrightarrow{\text{DEF}} v, v' \in H^1(a, b)$ USE ... TO v

AND THEN TO $T^*v = -iv'$, WE CONCLUDE THAT $v \in \text{dom}(T^{*2})$

AND $T^{*2}v = -v''$.

LET $u_1 \in \text{dom } T, u_2 \in \text{dom } T^2$. $u_1(a), u_1(b), u_2(a), u_2(b), u_2'(a), u_2'(b)$... VANISHES, SO ... "IBP"

$$\begin{aligned}
 - \langle u_1' | g_1 \rangle &= \langle u_1 | g_1' \rangle = \langle u_1 | h_1 \rangle = \langle u_1 | T^* v_1 \rangle = \langle T u_1 | v_1 \rangle \\
 &= \langle -i u_1' | v_1 \rangle
 \end{aligned}$$

$$\langle u_2'' | g_2 \rangle = \langle u_2 | g_2'' \rangle = \langle u_2 | (T^2)^* v_2 \rangle = \langle T^2 u_2 | v_2 \rangle = \langle -u_2'' | v_2 \rangle$$

$$\therefore \langle -i u_1' | v_1 - i g_1 \rangle = 0 = \langle u_2'' | v_2 + g_2 \rangle$$

$$\implies v_1 - i g_1 \in \text{img}(T)^\perp \subseteq \mathbb{C} \cdot 1$$

$$v_2 + g_2 \in \text{img}(T^2)^\perp \stackrel{\text{Lem}}{\subseteq} \mathbb{C} \cdot 1 + \mathbb{C} \cdot x$$

$$\therefore g_1, 1 \in H^1(a, b), \quad g_2, 1, x \in H^2(a, b)$$

$$\implies g_1 \in H^1(a, b), \quad g_2 \in H^2(a, b)$$

... T^* ,

$$H^2(a, b) \subseteq \text{dom}((T^*)^2), \quad T^{*2} v = -v''$$

$$\text{dom}(T^2)^* \subseteq H^2(a, b)$$

$$\therefore (T^*)^2 \subseteq (T^2)^* \implies \dots (T^2)^* \quad \& \quad (T^*)^2 \quad \square$$

- DEF ($T, \text{dom}(T) \subset \mathcal{H}$) SYMMETRIC
 $\text{dom}(T)$... CALLED THE CORE OF T IF $T \dots$ ESA

$$T_0 := T \upharpoonright \mathcal{D}_0, \quad \mathcal{D}_0 := \left\{ u \in C^\infty([a, b], \mathbb{R}) \mid u(a) = 0 = u(b) \right\}$$

- LEM \mathcal{D}_0 ... CORE FOR T , SO $\overline{T_0} = T$

PF LET $u \in \text{dom}$:

$$\because u' \in L^2(a, b)$$

$\Rightarrow \exists (v_n)_{n \in \mathbb{N}} \dots C^\infty([a, b], \mathbb{R}) \mid v_n \rightarrow u' \text{ IN } L^2(a, b)$

$$u_n(x) := \int_a^x v_n(t) dt - (x-a)(b-a)^{-1} \int_a^b v_n(t) dt$$

HÖLDER INEQUALITY $\Rightarrow v_n \rightarrow u' \in L^1(a, b)$

$$\Rightarrow \int_a^b v_n(t) dt \rightarrow \int_a^b v'(t) dt = v(b) - v(a) = 0$$

$$\therefore T(u_n) = -i v_n + i(b-a)^{-1} \int_a^b v_n(t) dt \rightarrow i u' = T u \dots L^2(a,b)$$

$$\therefore u(a) = 0$$

$$x \in [a, b] : |u_n(x) - u(x)| \leq \int_a^b |v_n(t) - v'(t)| dt + \int_a^b |v_n(t) dt|$$

$$\therefore v_n \rightarrow v' \dots L^1(a,b) \Rightarrow \text{RHS} \rightarrow 0 \text{ AS } n \rightarrow \infty$$

$$\therefore u_n(x) \rightarrow u(x) \text{ UNIFORMLY } \dots [a, b]$$

$$\Rightarrow u_n \rightarrow u \dots L^2(a,b)$$

$$\Rightarrow T_0 u_n \rightarrow T u_n \rightarrow T u \dots L^2(a,b) \quad \square$$

• EXM ... M_f

$$M_f \dots SA \Leftrightarrow f : \mathbb{C} \rightarrow \mathbb{R} \text{ A.E.}$$

• EXM Δ ... UNITARY EQUIVALENT $M_{|\xi|^2} \Rightarrow SA$

$$\text{dom}(M_{|\xi|^2}) = \{u \in L^2(\mathbb{R}^d) \mid |\xi|^2 \hat{u} \in L^2(\mathbb{R}^d)\} = H^2(\mathbb{R}^d)$$

$$\Rightarrow (\Delta, H^2(\mathbb{R}^d)) \dots SA$$

• LEM FOR ... ESAO, THE CLOSURE IS THE UNIQUE SA EXTENSION

• EXM $\Delta : C_c^\infty(\mathbb{R}^d) \rightarrow L^2(\mathbb{R}^d)$

$$\text{dom}(\Delta^*) := \left\{ u \in L^2(\mathbb{R}^d) \mid \underbrace{\langle u, \Delta \phi \rangle}_{L^2(\mathbb{R}^d, dx)} \dots \text{BOUNDED} \right\}$$

PLANCHEREL THM "

$$\langle \hat{u}, |\xi|^2 \hat{\phi} \rangle_{L^2(\mathbb{R}^d, \frac{d\xi}{2\pi d})}$$

$$\implies u \in \text{dom}(\Delta^*) \iff u \in L^2(\mathbb{R}^d) \mid u = |\xi|^2 \hat{u} \dots \perp \mathcal{F}(C_c^\infty(\mathbb{R}^d))$$

$$\implies u = |\xi|^2 \hat{u}$$

$$\begin{array}{c} \uparrow \\ C_c^\infty(\mathbb{R}^d) \subset L^2(\mathbb{R}^d), \quad \mathcal{F} \dots \text{UNITARY} \\ \text{dense} \end{array}$$

$$u \in \text{dom}(\Delta^*) \iff |\xi|^2 \hat{u} \in L^2(\mathbb{R}^d)$$

$$\text{dom}(\Delta^*) = H^2(\mathbb{R}^d)$$

$$\therefore (\Delta, H^2(\mathbb{R}^d)) \dots \text{SA} \implies (\Delta, \underbrace{C_c^\infty(\mathbb{R}^d)}_{\text{CORE}}) \dots \text{ESA}$$

• LEM $T, S \in \text{SA}(\mathcal{H})$

$$S \in \mathcal{B}(\mathcal{H})$$

$$\implies T+S \in \text{SA}(\mathcal{H}), \quad \text{dom}(T+S) = \text{dom}(T)$$

$$T+S \in \text{ESA}(\mathcal{H}) \text{ ON A CORE FOR } T$$

• EXM $V \in L^\infty(\mathbb{R}^d, \mathbb{R})$

M_V
 $\implies M_V \in \mathcal{B}_{SA}(L^2(\mathbb{R}^d, \mathbb{R}))$

Lem
 $\implies \Delta + V \stackrel{=}{} \Delta + M_V \dots SA \text{ ON } H^2(\mathbb{R}^d)$
ESA $\iff C_c^\infty(\mathbb{R}^d, \mathbb{R})$

CRITERIA FOR SA

• THM $T \in \text{SYMM}$

$\exists \epsilon \in \mathbb{C}$ STRICTLY ϵ

TFAE: (I) T SA;

(II) $T \dots$ CLOSED & BOTH $T^\# - \epsilon$ & $T^\# - \bar{\epsilon} \dots$ INJECTIVE,

(III) BOTH $T - \epsilon$ & $T - \bar{\epsilon} \dots$ BOUNDED INVERSES;

(IV) BOTH $T - \epsilon$ & $T - \bar{\epsilon} \dots$ SURJECTIVE.

• THM $T \in \text{SYMM}$

$\lambda \in \mathbb{C}$ STRICTLY \mathbb{C}

TFAE:

(I) $T \in \text{ESA}$;

(II) BOTH $T^* - \lambda$ & $T^* - \bar{\lambda}$... INJECTIVE;

(III) BOTH $T - \lambda$ & $T^* - \bar{\lambda}$... DENSE RANGE.

• EXM $\Delta : C_c^\infty(0,1) \rightarrow L^2(0,1)$

CHECK: Δ ... SYMM: IBP

... FROM EARLIER EXMS: IT IS SUFFICIENT TO PRODUCE A NON-ZERO ELEMENT IN $L^2(0,1)$ THAT IS ORTHOGONAL TO $\text{img}(\Delta - \lambda)$ FOR λ STRICTLY \mathbb{C} .

LET $u \in C^\infty[0,1]$, $\phi \in C_c^\infty(0,1)$:

$$\langle u | (\Delta - \lambda) \phi \rangle = \langle u'' - \lambda u | \phi \rangle = - \langle u'' + \bar{\lambda} u | \phi \rangle$$

$u'' + \bar{z}u = 0 \dots$ ADMITS NON-TRIV SOLS, E.G.,

$$u(x) = e^{i\sqrt{\bar{z}}x}$$

SOLS \perp $\ker(\Delta - \bar{z})$

\implies $\Delta \notin \text{ESA}$ ~~Q~~

SPECTRUM

LIN ALG: $V = \mathbb{C}^d$,

$L : V \rightarrow V$,

$$L v = \lambda v \quad \leftarrow \text{EV}$$

• DEF $L \in \mathcal{L}(\mathcal{H})$ "CLOSED LIN OP"

• $\lambda \in \mathbb{C}$... BELONGS TO RESOLVENT SET $\rho(L)$ OF L IF $L - \lambda \mathbb{1}$ HAS ... BOUNDED INVERSE $(L - \lambda)^{-1}$ DEFINED EVERYWHERE ON \mathcal{H} , ... CALLED THE RESOLVENT OF L AT λ AND DENOTED BY $R_\lambda(L)$

• $\sigma(L) := \mathbb{C} \setminus \rho(L)$... SPECTRUM OF L .

• $\sigma_p(L) := \{\lambda \in \mathbb{C} \mid \ker(L - \lambda) \neq \{0\}\}$... POINT SPECTRUM OF L

WE CALL $\lambda \in \sigma_p(L)$ AN EIGENVALUE, $\dim \ker(L - \lambda) =: \text{MULTIPLICITY}$, AND ANY NON-ZERO ELEMENT IN $\ker(L - \lambda)$ EIGENVECTOR OF L AT λ .